Geometric rank of tensors

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Different notions of rank

For a tensor $T \in \mathbb{F}^{n_1 \times n_2 \times n_3}$ there are many notions of rank

- tensor rank: $R(T) = \min\{r \mid T \leq I_r\}$
- flattening rank: e.g. $\operatorname{rk}(\mathbb{F}^{n_1*} \to \mathbb{F}^{n_2} \otimes \mathbb{F}^{n_3})$
- border rank: $\underline{R}(T) = \min\{r \mid T \in \sigma_r\}$
- subrank: $Q(T) = \min\{r \mid I_r \leq T\}$

Today we will focus on another notion:

• ...

the geometric rank

Setting of today

Work over algebraically closed \mathbb{F} , e.g. $\mathbb{F} = \mathbb{C}$.

Variety: the common zero set of a bunch of polynomial equations $\{x = (x_1, ..., x_n) \in \mathbb{F}^n \mid p_1(x) = \cdots = p_\ell(x) = 0\}$

We already saw some examples of varieties in the previous lectures...

Dimension

Natural concept:

dim 0 dim 1 dim 2 **Delicate concept:** For an affine variety $X \subset \mathbb{F}^N$, the dimension of X is

dim X = the length of a maximal chain of irreducible subvarieties of X.

The **codimension** of $X \subset \mathbb{F}^N$ is $\operatorname{codim} X = N - \dim X$.

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Things to know about dimension

- for a linear space you already know how to compute dimensions from linear algebra
- if $X = \bigcup_i Y_i$ then dim $X = \max \dim Y_i$
- if $Y \subseteq X$ then dim $Y \leq \dim X$
- the dimension is additive for cartesian products
- A variety defined by as the common zero locus of just one equation X = {f = 0} ⊂ 𝔽^N is an hypersurface and dim X = N − 1.

An example

Let $X = \{((x_1, x_2), (y_1, y_2)) | x_1y_1 = 0, x_1y_2 + y_2x_1 = 0\} \subset \mathbb{F}^2 \times \mathbb{F}^2$. We need to solve the system

$$\begin{cases} x_1 y_1 = 0, \\ x_1 y_2 + y_1 x_2 = 0 \end{cases} \iff \begin{cases} x_1 = 0 \text{ or } y_1 = 0 \\ x_1 y_2 + y_1 x_2 = 0 \end{cases}$$

• if $x_1 = 0$ then eq. 2 becomes $y_1 x_2 = 0$. This gives

- {(($(x_1, x_2), (y_1, y_2)$) | $x_1 = 0, y_1 = 0$ } = $\mathbb{F}^1 \times \mathbb{F}^1$ or
- {((0,0), (y_1, y_2))} = {0} × \mathbb{F}^2 .

In both cases we have 2 parameters of freedom, so the dimension of both components is 2

• if $y_1 = 0$ then the solutions are $\{y_1 = x_1 = 0\} = \mathbb{F}^1 \times \mathbb{F}^1$ and $\{y_1 = 0, y_2 = 0\} = \mathbb{F}^2 \times \{0\}$. Again dim 2.

Hence, $X = \{x_1 = x_2 = 0\} \cup \{y_1 = y_2 = 0\} \cup \{x_1 = y_1 = 0\}$, dim X = 2 and codimX = 4 - 2 = 2.

The geometric rank of a tensor

Kopparty-Moskowitz-Zuiddam 2022

Let $T = (t_{i,j,k}) \in \mathbb{F}^{n_1} \otimes \mathbb{F}^{n_2} \otimes \mathbb{F}^{n_3}$. Fix the 3rd factor and take $A_1 = (t_{i,j,1}), \ldots, A_{n_3}(t_{i,j,n_3}) \in \mathbb{F}^{n_1} \otimes \mathbb{F}^{n_2}$.

The geometric rank of T is

$$\operatorname{GR}(\mathcal{T}) := \operatorname{codim}\{(x, y) \in \mathbb{F}^{n_1} \times \mathbb{F}^{n_2} \mid x^{\mathcal{T}} A_1 y = \cdots = x^{\mathcal{T}} A_{n_3} y = 0\}.$$

The codimension of the solutions of a system of quadratic equations:



Example

Consider the W-state

$$egin{aligned} \mathcal{T} &= e_2 \otimes e_1 \otimes e_1 + e_1 \otimes e_2 \otimes e_1 + e_1 \otimes e_1 \otimes e_2 \in \mathbb{F}^{2 imes 2 imes 2} \ &= \left(egin{bmatrix} 0 & 1 \ 1 & 0 \end{bmatrix}, egin{bmatrix} 1 & 0 \ 0 & 0 \end{bmatrix}
ight). \end{aligned}$$

To compute GR(T) we need to consider $x^T A_1 y = 0$ and $x^T A_2 y = 0$, i.e.

$$\begin{pmatrix} x_1 & x_2 \end{pmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = 0 \text{ and } \begin{pmatrix} x_1 & x_2 \end{pmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = 0$$
$$x_1y_2 + x_2y_1 = 0 \text{ and } x_1y_1 = 0.$$

In the previous example we computed $\operatorname{codim}\{(x, y) | x_1y_2 + x_2y_1 = x_1y_1 = 0.\} = 2 = \operatorname{GR}(T).$

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Let us look at the definition again

Let $T = (t_{i,j,k}) \in \mathbb{F}^{n_1} \otimes \mathbb{F}^{n_2} \otimes \mathbb{F}^{n_3}$. Fix the **3**rd factor and take $A_1 = (t_{i,j,1}), \dots, A_{n_3}(t_{i,j,n_3}) \in \mathbb{F}^{n_1} \otimes \mathbb{F}^{n_2}$. GR $(T) := \operatorname{codim}\{(x, y) \in \mathbb{F}^{n_1} \times \mathbb{F}^{n_2} | x^T A_1 y = \dots = x^T A_{n_3} y = 0\}$. Fix the **1**st factor and take slices $B_1 = (t_{1,j,k}), \dots, B_{n_1} = (t_{n_1,j,k})$. We can look at $\operatorname{codim}\{(x, y) \in \mathbb{F}^{n_2} \times \mathbb{F}^{n_3} | x^T B_1 y = \dots = x^T B_{n_1} y = 0\}$

Do they have the same codimension?

Is GR well defined?



To answer this question, it is convenient to look at $T \in \mathbb{F}^{n_1 \times n_2 \times n_3}$ also as a multilinear map

$$T: \mathbb{F}^{n_1} \times \mathbb{F}^{n_2} \times \mathbb{F}^{n_3} \to \mathbb{F}$$
$$(x, y, z) \mapsto \sum_{i,j,k} t_{i,j,k} x_i y_j z_k.$$

In this way we can rephrase the geometric rank as

$$\begin{aligned} \operatorname{GR}(\mathcal{T}) &= \operatorname{codim}\{(x,y) \in \mathbb{F}^{n_1} \times \mathbb{F}^{n_2} \mid \mathcal{T}(x,y,z) = 0 \forall z\} \\ &= \operatorname{codim}\{(x,y) \in \mathbb{F}^{n_1} \times \mathbb{F}^{n_2} \mid \mathcal{T}(x,y,\cdot) = 0\}, \end{aligned}$$

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where $T(x, y, \cdot)$ is the vector containing the slices.

Notice that

$$\{(x,y) | x^{T}A_{i}y = 0 \text{ for all } i\} = \bigotimes_{x \in \mathbb{F}^{n_{1}}} \{ y \in \mathbb{F}^{n_{2}} | x^{T}A_{i}y = 0 \text{ for all } i \}.$$

Moreover, for fixed x we have

$$\dim\{y \in \mathbb{F}^{n_2} \mid x^T A_i y = 0 \text{ for all } i\} = \dim \ker \begin{bmatrix} x^T A_1 \\ \vdots \\ x^T A_{n_3} \end{bmatrix} = corank \text{ Big M.}$$

What is this big matrix? Call it $T(x, \cdot, \cdot)$.

Define $W_i = \{x \in \mathbb{F}^{n_1} | corankT(x, \cdot, \cdot) = i\}$ and notice that the W_i are a partition of \mathbb{F}^{n_1} . So $\{(x, y) | x^T A_i y = 0 \text{ for all } i\}$ equals

$$\bigcup_{i} \{ (x, y) \in W_i \times \mathbb{F}^{n_2} | x^T A_1 y = \cdots = x^T A_{n_3} y = 0 \}$$

Hence, dim{ $(x, y) | x^T A_i y = 0$ for all i} = max{dim $W_i + i$ }.

$$W_i = \{x \in \mathbb{F}^{n_1} \mid corankT(x, \cdot, \cdot) = i\}$$

Now, since we are looking for codimension, we have

$$GR(T) = codim\{(x, y) | T(x, y, \cdot) = 0\}$$

= $n_1 + n_2 - \max_i \{\dim W_i + i\}$
= $min_i \{n_1 + n_2 - (\dim W_i + i)\}$
= $min_i \{n_1 - \dim\{x | \operatorname{rk} T(x, \cdot, \cdot) = n_2 - i\} + n_2 - i\}$
= $min_j \{codim\{x | \operatorname{rk} T(x, \cdot, \cdot) = j\} + j\}.$

It only depends on x! So if we start with $codim\{(x, z) \mid T(x, \cdot, z) = 0\}$ we get the same!

 \implies GR well defined!

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On the big matrix

We were looking at

$$\begin{bmatrix} x^{\mathsf{T}} A_1 \\ \vdots \\ x^{\mathsf{T}} A_{n_3} \end{bmatrix} = \begin{bmatrix} \sum_i t_{i,1,1} x_i & \dots & \sum_i t_{i,n_2,1} x_i \\ \vdots & & \vdots \\ \sum_i t_{i,1,n_3} x_i & \dots & \sum_i t_{i,n_2,n_3} x_i \end{bmatrix}$$

$$= \begin{bmatrix} x^T B_1 & \dots & x^T B_{n_2} \end{bmatrix},$$

where $A_r = (t_{i,j,r})$ and $B_s = (t_{i,s,j})$.

That is why we were simply calling it $T(x, \cdot, \cdot)$.

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GR for many factors

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The geometric rank can be defined for an arbitrary number of factors. For $T \in \mathbb{F}^{n_1 \times \cdots \times n_k}$, GR(T) is the codimension of $\{(x_1, \ldots, x_{k-1}) \in \mathbb{F}^{n_1} \times \cdots \times \mathbb{F}^{n_{k-1}} \mid T(x_1, \ldots, x_{k-1}, x_k) = 0 \forall x_k\}.$

What happens in the case of matrices?

In all notions seen so far (R(T), Q(T)...) when restricting to the case of matrices, all these notions correspond to the well known rank of matrices rk.

Does this happens also for GR?

Take $T = (t_{i,j}) \in \mathbb{F}^m \times \mathbb{F}^n$. We have

$$GR(T) = \operatorname{codim}\{(x, y) \mid \forall x \ T(x, y) = 0\}$$
$$= n - \dim\{y \in \mathbb{F}^n \mid \sum_j t_{1,j}y_j = \dots = \sum_j t_{m,j}y_j = 0\}$$
$$= n - \dim\{y \mid Ty = 0\} = n - \dim \ker T = \operatorname{rk} T.$$

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Properties of GR

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- if $S \leq T$ then $GR(S) \leq GR(T)$
 - first prove that (A, I, I) · T has GR less or equal than GR(T), then chain with (I, B, I) · T and (I, I, C) · T.
- $\operatorname{GR}(S \oplus T) = \operatorname{GR}(S) + \operatorname{GR}(T)$, for $S \in \mathbb{F}^{m_1 \times m_2 \times m_3}, T \in \mathbb{F}^{n_1 \times n_2 \times n_3}$
 - If S and T have slices A₁,..., A_{m₃} and B₁,..., B_{n₃} then S ⊕ T has slices A_i ⊕ 0 and 0 ⊕ B_j and the variables do not interact with each others.
- sub additive element wise
 - Since $S + T \leq S \oplus T$ and $\operatorname{GR}(S \oplus T) = \operatorname{GR}(S) + \operatorname{GR}(T)$.
- GR is not submultiplicative under kronecker product (e.g. M_{nnn})

Example M₂₂₂

We already saw that $M_{222} \sim M_{211} \boxtimes M_{121} \boxtimes M_{112}$. It is easy to prove that $GR(M_{112}) = GR(M_{121}) = GR(M_{211}) = 1$:

 $M_{112} = e_1 \otimes (e_1 \otimes e_1 + e_2 \otimes e_2)$ only one slice \implies GR = 1

Let us compute now GR of $M_{2,2,2}$

$$\left(\begin{bmatrix}1&0&0&0\\0&0&1&0\\0&0&0&0\\0&0&0&0\end{bmatrix},\begin{bmatrix}0&1&0&0\\0&0&0&1\\0&0&0&0\\0&0&0&0\end{bmatrix},\begin{bmatrix}0&0&0&0\\0&0&0&0\\1&0&0&0\\0&0&1&0\end{bmatrix},\begin{bmatrix}0&0&0&0\\0&0&0&0\\0&1&0&0\\0&0&0&1\end{bmatrix}\right)$$

We need to find the dimension of

$$\{x_1y_1 + x_2y_3 = x_1y_2 + x_2y_4 = x_3y_1 + x_4y_3 = x_3y_2 + x_4y_4 = 0\}.$$

This is given by 3 pieces each having dimension 5 \implies GR($M_{2,2,2}$) = 3.

$GR(I_r)$

Recall that $I_r = \sum_{i=1}^r e_i \otimes e_i \otimes e_i \in \mathbb{F}^{r \times r \times r}$ and let us compute $GR(I_r)$.

For r = 1 we have to look at $\{xy = 0\} = \{x = 0\} \cup \{y = 0\}$. So $\dim\{(x, y) \in \mathbb{F} \times \mathbb{F} \mid xy = 0\} = 1$, therefore $\operatorname{GR}(I_1) = 2 - 1 = 1$.

In general we have $GR(I_r) = r$.

Indeed, $I_r = \oplus^r I_1$ and we have additivity under direct sum, So $GR(I_r) = rGR(I_1) = r$.

You can also directly compute that $\{(x, y) | x_1y_1 = \cdots = x_ry_r = 0\}$ has dimension r and so $GR(I_r) = 2r - r = r$.

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Comparing GR with other ranks

We want to prove that

$$Q(T) \leq \operatorname{GR}(T) \leq SR(T).$$

• Assume Q(T) = s, so $I_s \leq T$. We just computed that $GR(I_s) = s$ and we know that GR is monotone under restriction: $GR(I_s) \leq GR(T)$. So

$$Q(T) = s = \operatorname{GR}(I_s) \leq \operatorname{GR}(T).$$

• First, notice that if SR(T) = 1 then GR(T) = 1. Hence $\operatorname{codim}\{(x, y) | x^T A x = 0\} = n_2 + n_3 - (n_2 + n_3 - 1) = 1 =$ GR(T). Assume SR(T) = r, so $T = \sum_i^r T_i$ where each T_i has slice rank 1.So $GR(T_i) = 1$ for all *i*. We conclude by element wise subadditivity.

Application to hypergraphs

Undirected uniform hypergraph H := (V, E)

$$V=\{1,\ldots,n\}$$
 and $E\subset 2^V$ such that $\#e=3 \quad orall e\in E.$

We associate to H a tensor $T = (t_{i,j,k}) \in \mathbb{F}^{n \times n \times n}$ as follows:

$$t_{i,j,k} := egin{cases} 1 & ext{if } \{i,j,k\} \in E ext{ or } i=j=k \ 0 & ext{otherwise.} \end{cases}$$

The **independence number** of *H* is $\alpha := \#$ largest set of vertices containing no edges of *H*.

The value α can be bounded by

- subrank (hard to compute)
- geometric rank (easy to compute).

Some more applications

For $T \in \mathbb{F}^{n \times n \times n}$, the **border subrank** is defined as $\underline{Q} = \max r$ such that $I_r \in \overline{GL_n \times GL_n \times GL_n \cdot T}$ and $\operatorname{GR}(T) \ge Q(T).$

As a consequence, the authors prove that $Q(M_{n,n,n}) = \lceil 3/4n^2 \rceil$.

Some references on the topic

- S. Kopparty, G Moshkovitz, J Zuiddam: *Geometric rank of tensors* and subrank of matrix multiplication. Discrete Analysis, 2023.
- A more geometric perspectivre
 - R Geng and J M Landsberg. On the geometry of geometric rank. Algebra and Number Theory, 16(5):1141-1160, 2022.
 - R Geng. *Geometric rank and linear determinantal varieties*. European Journal of Mathematics 9.2 (2023): 23.

Let us focus on symmetric tensors

An important class of tensors $\mathbb{F}^{n \times n \times n}$ is the one of *symmetric* tensors.

A tensor $T = (t_{i,j,k}) \in \mathbb{F}^{n \times n \times n}$ is symmetric if

$$t_{i,j,k} = t_{\sigma(i),\sigma(j),\sigma(k)}, \text{ for all } \sigma \in \mathfrak{S}_3.$$

Symmetric tensors actually form a vector space that is usually denoted as

$$Sym^{3}\mathbb{F}^{n} = \{ T \in \mathbb{F}^{n \times n \times n} \mid T \text{ is symmetric} \}.$$

The W-state T = e₂ ⊗ e₁ ⊗ e₁ + e₁ ⊗ e₂ ⊗ e₁ + e₁ ⊗ e₁ ⊗ e₂ is symmetric.

Symmetric rank

All notions of tensors seen so far can be *adapted* for the particular case of symmetric tensors. For $T \in Sym^3 \mathbb{F}^n$ we can look at

$$R(\cdot) = \min\{r \mid T = \sum_{i=1}^{r} u_i \otimes v_i \otimes w_i\}$$
 tensor rank

but also at

$$R_{sym}(\cdot) := \min\{r \mid T = \sum_{i=1}^{r} v_i \otimes v_i \otimes v_i\}$$
 Waring rank

We have

$$R \leq R_{sym}$$
.

Understanding when equality holds is the well-known **Comon's** problem.

Symmetric geometric rank

Also for the geometric rank we can consider its symmetrization. Recall that for $\mathcal{T}\in\mathrm{Sym}^3\mathbb{F}^n$,

$$\operatorname{GR}(T) = \operatorname{codim}\{(x, y) \in \mathbb{F}^n \times \mathbb{F}^n \mid x^T A_1 y = \cdots = x^T A_n y = 0\}.$$

$$x^T A_i y \rightsquigarrow x^T A_i x$$

Denote by $A_1 \ldots, A_n$ the slices of $T \in Sym^3(\mathbb{F}^n)$. The symmetric geometric rank of T is

$$\operatorname{SGR}(T) := \operatorname{codim} \{ x \in \mathbb{F}^n | x^T A_1 x = \cdots = x^T A_n x = 0 \}.$$

Symmetric geometric rank I

For $T \in \text{Sym}^3(\mathbb{F}^n)$, A_i slice of T $\text{SGR}(T) := \text{codim}\{x \in \mathbb{F}^n | x^T A_1 x = \dots = x^T A_n x = 0\},$ not very revealing...

But hey, symmetric tensors are homogeneous polynomials!

$$\operatorname{Sym}^{3}\mathbb{F}^{n} \xrightarrow{\sim} \mathbb{C}[x_{1}, \dots, x_{n}]_{(3)}$$
$$T = (t_{i,j,k}) \mapsto \sum t_{i,j,k} x_{i} x_{j} x_{k} =: F.$$

Moreover,

$$x^T A_i x \cong \frac{\partial F}{\partial x_i}.$$

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Example
for
$$x^T A_i x \cong \frac{\partial F}{\partial x_i}$$

 $T = e_1 \otimes e_1 \otimes e_2 + e_1 \otimes e_2 \otimes e_1 + e_2 \otimes e_1 \otimes e_1, \text{ or equivalently}$ $F = x_1 x_1 x_2 + x_1 x_2 x_1 + x_2 x_1 x_1 = 3x_1^2 x_2.$

$$T = e_1 \otimes (e_2 \otimes e_1 + e_1 \otimes e_2) + e_2 \otimes e_1 \otimes e_1$$

= $e_1 \otimes A_1 + e_2 \otimes A_2$
= $e_1 \otimes \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} + e_2 \otimes \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$

$$\frac{\partial F}{\partial x_1} = 6x_1x_2 = 3 \cdot x^T A_1 x \qquad \qquad \frac{\partial F}{\partial x_2} = 3x_1^2 = 3 \cdot x^T A_2 x$$

 $x^T A_i x$ is equal to $\frac{\partial F}{\partial x_i}$ up to a non zero scalar.

Symmetric geometric rank II

Let F be **the** homogeneous polynomial associated to T,

$$\operatorname{SGR}(T) := \operatorname{codim} \{ x \in \mathbb{F}^n \mid x^T A_1 x = \dots = x^T A_n x = 0 \} \\ = \operatorname{codim} \left\{ x \in \mathbb{F}^n \mid \frac{\partial F}{\partial x_1} = \dots = \frac{\partial F}{\partial x_n} = 0 \right\}.$$

Symmetric geometric rank II

Let F be **the** homogeneous polynomial associated to T,

$$\begin{aligned} \mathrm{SGR}(T) &:= \mathrm{codim}\{x \in \mathbb{F}^n \mid x^T A_1 x = \cdots = x^T A_n x = 0\} \\ &= \mathrm{codim}\left\{x \in \mathbb{F}^n \mid \frac{\partial F}{\partial x_1} = \cdots = \frac{\partial F}{\partial x_n} = 0\right\}. \end{aligned}$$

Recall:

- The zero locus $X_F = \{F = 0\} \subset \mathbb{F}^n$ of F is an hypersurface.
- A point p∈ 𝔽ⁿ is singular for X_F if F(p) = 0 and dF(p)/dx_i = 0 for all i.
- The singular locus of X_F is $\operatorname{Sing}(F) = \{\frac{\mathrm{d}F}{\mathrm{d}x_0} = \cdots = \frac{\mathrm{d}F}{\mathrm{d}x_n} = 0\}.$

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Symmetric geometric rank II

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Let F be the homogeneous polynomial associated to T,

$$\begin{aligned} \mathrm{SGR}(T) &:= \mathrm{codim}\{x \in \mathbb{F}^n \mid x^T A_1 x = \cdots = x^T A_n x = 0\} \\ &= \mathrm{codim}\left\{x \in \mathbb{F}^n \mid \frac{\partial F}{\partial x_1} = \cdots = \frac{\partial F}{\partial x_n} = 0\right\}. \end{aligned}$$

 $\operatorname{SGR}(T) := \operatorname{codim}_{\mathbb{F}^n}(\operatorname{Sing}(F)).$

Already well defined! Already generalizable to an arbitrary number of factors.

$\begin{array}{c} \text{Relation between } \mathrm{GR} \text{ and } \mathrm{SGR} \\ \text{For a } \mathcal{T} \in \mathrm{Sym}\mathbb{F}^3 \subset \mathbb{F}^{n \times n \times n} \text{ we have} \end{array}$

 $\operatorname{SGR}(T) \leq \operatorname{GR}(T).$

Inclusion can be strict!Take

 $T = e_1 \otimes e_1 \otimes e_2 + e_1 \otimes e_2 \otimes e_1 + e_2 \otimes e_1 \otimes e_1 = 3x_1^2x_2 = F.$

$$A_1 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad A_2 = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$$

For GR solve
$$\begin{cases} x^T A_1 y = x_1 y_2 + x_2 y_1 = 0\\ x^T A_2 y = x_1 y_1 = 0 \end{cases} \implies \operatorname{GR}(T) = 2.$$

For SGR solve
$$\begin{cases} x^T A_1 x = 2x_1 x_2 = 0\\ x^T A_2 x = x_1^2 = 0 \end{cases} \implies \operatorname{SGR}(T) = 1.$$

Reference: J Lindberg, P Santarsiero: *The symmetric geometric rank of symmetric tensors*. arXiv preprint, arXiv:2303.17537, 2023.

Questions?

Thank you for the attention!

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