

Algorithms for the Separation of Orbit Closures of Matrices (arXiv:1801.02043)

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Invariant Theory

K algebraically closed base field

G reductive algebraic group over K

e.g., GL_n , SL_n , O_n , finite, or products of these

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Definition

invariant ring $K[V]^G = \{f \in K[V] \mid \forall g \in G \ g \cdot f = f\}$
 $= \{f \in K[V] \mid f \text{ constant on } G\text{-orbits}\}.$

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Theorem (Hilbert, Nagata, Haboush)

$K[V]^G$ is a finitely generated K -algebra

Definition

an invariant $f \in K[V]^G$ separates $v, w \in V$ if $f(v) \neq f(w)$

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Orbit Closure Problem

given $v, w \in W$ determine whether $\overline{G \cdot v} \cap \overline{G \cdot w} = \emptyset$
if so, find explicit $f \in K[V]^G$ with $f(v) \neq f(w)$

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$\mathcal{N} := \{v \in V \mid 0 \in \overline{G \cdot v}\}$ Null cone

$v \in \mathcal{N} \Leftrightarrow \overline{G \cdot v} \cap \overline{G \cdot 0} \neq \emptyset \Leftrightarrow \forall f \in K[V]^G, f(v) = f(0)$

Matrix Conjugation

Example: $V = \text{Mat}_{n,n}$ $n \times n$ matrices

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$$\chi_A(t) := \det(tI - A) = t^n + f_1(A)t^{n-1} + \dots + f_n(A)$$

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$$A \in \mathcal{N} \Leftrightarrow f_1(A) = \dots = f_n(A) = 0 \Leftrightarrow \chi_A(t) = t^n \Leftrightarrow A \text{ is nilpotent}$$

Simultaneous Matrix Conjugation

Example: $V = \text{Mat}_{n,n}^m$ m -tuples $n \times n$ matrices

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$$g \cdot (A_1, \dots, A_m) = (gA_1g^{-1}, \dots, gA_mg^{-1})$$

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$$A_w = A_{w_1}A_{w_2} \cdots A_{w_r}$$

the length $\ell(w)$ of w is r

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Theorem (Procesi, Razmyslov, $\text{char}(K) = 0$)

$K[V]^G$ generated by all $A = (A_1, \dots, A_m) \mapsto \text{Trace}(A_w)$
for all w of length $\leq n^2$

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Theorem (Donkin, $\text{char}(K)$ arbitrary)

$K[V]^G$ generated by all coefficients of $\chi_{A_w}(t)$ for all w

D.-Makam: only need w with $\ell(w) \leq (m+1)n^4$

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Algorithm

Forbes and Shpilka (2013) gave a (parallel) polynomial time algorithm for the orbit closure problem if $\text{char}(K) = 0$ but algorithm does not explicitly construct a separating invariant if orbit closures are disjoint

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Algorithm

D. and Makam (2018) gave a polynomial time algorithm for orbit closure problem in arbitrary characteristic that also explicitly constructs a separating invariant when orbit closures are disjoint

Orbit Closures for Simultaneous Conjugation

given $A = (A_1, \dots, A_m), B = (B_1, \dots, B_m) \in V = \text{Mat}_{n,n}^m$

define $C_i = \left(\begin{array}{c|c} A_i & 0 \\ \hline 0 & B_i \end{array} \right), i = 1, 2, \dots, m$

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$\mathcal{C} = K\langle C_1, \dots, C_m \rangle = \text{Span}\{C_w \mid w \text{ word}\}$

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order all words lexicographically

$\emptyset, 1, 2, \dots, m, 11, 12, \dots, 1m, 21, \dots, 2m, \dots, 111, 112, \dots$

Definition

w is called a pivot if $C_w \notin \text{Span}\{C_u \mid u < w\}$

Lemma

$\{C_w \mid w \text{ is a pivot}\}$ is basis of \mathcal{C}

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Lemma

every subword of a pivot is also a pivot

so # of pivots is at most $\dim \mathcal{C} \leq 2n^2$

largest pivot has length $< 2n^2$ (actually $O(n \log(n))$ by Shitov)

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suppose we found all pivots of length d

to find pivots of length $d + 1$ we only have to check all words w_i
where w is a pivot of length d and $1 \leq i \leq m$

we can find all pivots in polynomial time

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Theorem ($\text{char}(K) = 0$)

$\overline{G \cdot A} \cap \overline{G \cdot B} \neq \emptyset \Leftrightarrow \text{Trace}(A_w) = \text{Trace}(B_w)$ for all pivots w

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Using Donkin's theorem one gets (with more effort):

Theorem ($\text{char}(K)$ arbitrary)

$\overline{G \cdot A} \cap \overline{G \cdot B} \neq \emptyset \Leftrightarrow \chi_{A_w}(t) = \chi_{B_w}(t)$ for all pivots w

Simultaneous Left-Right Action

Example: $V = \text{Mat}_{n,n}^m$ m -tuples $n \times n$ matrices

$H = \text{SL}_n \times \text{SL}_n$ acts on V by simultaneous left-right action:

$$(g, h) \cdot (A_1, \dots, A_m) = (gA_1h^{-1}, \dots, gA_mh^{-1})$$

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Theorem (D. and Makam)

$K[V]^H$ generated by all $A = (A_1, \dots, A_m) \mapsto \det(\sum_{i=1}^m A_i \otimes T_i)$
where $T = (T_1, \dots, T_m) \in \text{Mat}_{d,d}^m$ and $d < mn^3$

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for $T = (T_1, \dots, T_m) \in \text{Mat}_{d,d}^m$, define $f_T \in K[V]^H$ by
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Garg-Gurvitz-Oliviera-Wigderson, Ivanyos-Qiao-Subrahmanyam

there is polynomial time algorithm for deciding whether

$A = (A_1, \dots, A_m) \in \mathcal{N}$ and algorithm constructs $T \in \text{Mat}_{n,n}^m$ with
 $f_T(A) \neq 0$ if $A \notin \mathcal{N}$

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suppose $A = (A_1, \dots, A_m), B = (B_1, \dots, B_m) \in \mathrm{Mat}_{n,n}^m$ are given

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(using T) we define a polynomial map $\zeta : \mathrm{Mat}_{n,n}^m \rightarrow \mathrm{Mat}_{n,n}^{mn^2}$ of degree n^2 with the property

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we reduced the problem to simultaneous conjugation!