

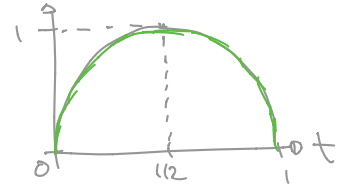
# Entropy, Subsystems, Holevo bound

Recall:  $H(p) = -\sum p_i \cdot \log p_i$  Shannon entropy

$H(\rho) = -\text{tr}[\rho \cdot \log \rho] = H(p)$  where  $p = \text{eigenvalues of } \rho$   $\rho$ -entropy

Properties:

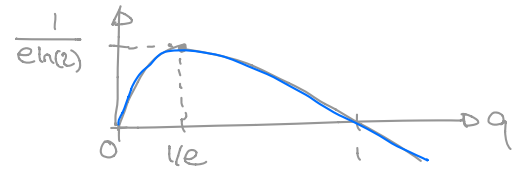
\*  $h(t) := H(\{t, 1-t\}) \in [0, 1]$  binary Shannon entropy



\*  $H(\rho) = H(V\rho V^*)$   $V$  isometries  $V: \mathcal{X} \rightarrow \mathcal{Y}$

\*  $0 \leq H(\rho) \leq \log d$ , where  $d := \dim \mathcal{X}$

$\rho = I/d$  pure  $\rho = \frac{I}{d}$



Pf:  $\geq 0$ : since  $f(q) := -q \log q \geq 0$  for  $q \in [0, 1]$

$\leq \log d$ : assume  $p_1, \dots, p_r > 0$ ,  $p_{r+1} = \dots = p_d = 0$ . Jensen's inequality for concave  $\log$ :

$$H(\rho) = \sum_{i=1}^r p_i \log \left( \frac{1}{p_i} \right) \leq \log \left( \sum_{i=1}^r \frac{p_i}{p_i} \right) = \log r \leq \log d. \quad \square$$

\* Continuous:

Pf:  $f$  continuous;  $\left. \begin{array}{l} p_1 \geq \dots \geq p_d \text{ eigenvalues of } \rho \\ q_1 \geq \dots \geq q_d \text{ eigenvalues of } \sigma \end{array} \right\} \Rightarrow \|p - q\|_1 \leq \|\rho - \sigma\|_1 \quad \square$

\* Fannes-Audenaert inequality:

$$\left| H(\rho) - H(\sigma) \right| \leq t \cdot \log(\dim \mathcal{X} - 1) + h(t) \quad \text{where } t = \frac{1}{2} \|\rho - \sigma\|_1 \in [0, 1]$$

NB: NOT Lipschitz continuous (not even  $h(t)$ )

\*  $H: D(\mathcal{X}) \rightarrow [0, \infty)$  concave, i.e.  $H(\sum_x p_x \rho_x) \geq \sum_x p_x H(\rho_x)$  proof below

\* Asymptotic equipartition property:  $\forall \epsilon, \epsilon > 0, n \exists$  projections  $\Pi_{n, \epsilon}$  on  $(\mathcal{C}^d)^{\otimes n}$

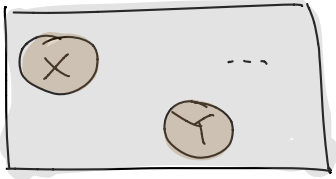
①  $\text{tr}[\Pi_{n, \epsilon} \rho^{\otimes n}] \rightarrow 1$  as  $n \rightarrow \infty$

②  $\text{rk}(\Pi_{n, \epsilon}) \leq 2^{n(H(\rho) + \epsilon)}$

③ eigenvalues of  $\Pi_{n, \epsilon} \rho^{\otimes n} \Pi_{n, \epsilon}$  in  $2^{-n(H(\rho) \pm \epsilon)}$

$\hookrightarrow H(\rho)$  is optimal rate for Schumacher compression = LECTURE 6

# Entropies of subsystems



NOTATION:  $\rho_{XY} \in \mathcal{P}(\Sigma_X \times \Sigma_Y) \rightsquigarrow \rho_X(x) = \sum_y \rho_{XY}(x,y), \dots$

$$H(XY) = H(\rho_{XY}), \quad H(X) = H(\rho_X), \dots$$

diagonal density ops  $\rho$  usually omitted

Likewise for q. states:  $\rho_{XY} \in \mathcal{D}(\mathcal{X} \otimes \mathcal{Y}) \rightsquigarrow \rho_X = \text{tr}_Y[\rho_{XY}], \quad \rho_Y = \text{tr}_X[\rho_{XY}]$

$$H(XY) := H(\rho_{XY}), \quad H(X) = H(\rho_X), \quad H(Y) = H(\rho_Y)$$

usually omitted

Similarly if more than two subsystems.

\* If  $\rho_{XY}$  pure:  $H(XY) = 0$  &  $H(X) = H(Y) =: S_E$  **entanglement entropy**

Pf:  $\rho_{XY} = |\psi\rangle\langle\psi|$  has eigenvalues  $\{1, 0, \dots, 0\}$

Schmidt decomposition  $|\psi\rangle = \sum_i s_i |e_i\rangle \otimes |f_i\rangle$

$\hookrightarrow s_i^2$  are nonzero eigenvalues of  $\rho_X$  & of  $\rho_Y$ . □

\* If  $\rho_{XY} = \rho_X \otimes \rho_Y$ :  $H(XY) = H(X) + H(Y)$

NB: notation consistent!

Pf:  $\rho_X = \{p_i\}, \rho_Y = \{q_j\} \rightsquigarrow \rho_X \otimes \rho_Y = \{p_i q_j\}$

$$H(XY) = - \sum_{ij} p_i q_j \log(p_i q_j) = - \sum_{ij} p_i q_j \log(p_i) - \sum_{ij} p_i q_j \log(q_j) = H(X) + H(Y) \quad \square$$

In general:

\*  $H(XY) \leq H(X) + H(Y)$  **Subadditivity (SA)**

you proved this on PSET 6.  
next week: another proof

\*  $H(XY) \geq |H(X) - H(Y)|$  **Araki-Lieb inequality (AL)**

Pf:  $\rho_{XY} \rightsquigarrow |\psi_{X+YZ}\rangle \in \mathcal{X} \otimes \mathcal{Y} \otimes \mathcal{Z}$  **purification** useful!

$$\Rightarrow H(XY) = H(Z) \stackrel{SA}{\geq} H(XZ) - H(X) = H(Y) - H(X) \quad \square$$

\*  $H(XY) + H(YZ) \geq H(Y) + H(XYZ)$  **Strong Subadditivity (SSA)**

Why stronger? SSA  $\Rightarrow$  SA if no  $Z$

**NONTRIVIAL!**  
proof next week...

\* equivalent:  $H(XY) + H(YZ) \geq H(X) + H(Z)$  **Weak Monotonicity**

Pf:  $\mathcal{S}_{X,Y,Z} \sim |\Psi_{X,Y,Z,W}\rangle$ : LHS =  $H(Z,W) + H(Y,Z) \geq H(Z) + H(Y,Z,W) =$  RHS  $\square$

## Mutual information

$$I(X:Y) = H(X) + H(Y) - H(X,Y)$$

$\hat{=}$  information that we lose when treating  $X, Y$  independently ( $\rightarrow$  compression)

\* defined for g. states  $\mathcal{S}_{X,Y}$  + prob. distributions  $P_{X,Y}$

relation: if  $\mathcal{S}_{X,Y} = \sum_{x,y} p(x,y) |x\rangle\langle x| \otimes |y\rangle\langle y|$ :  $I(X:Y)_{\mathcal{S}} = I(X:Y)_P$

\* invariant under isometries  $\mathcal{X} \rightarrow \tilde{\mathcal{X}}$  or  $\mathcal{Y} \rightarrow \tilde{\mathcal{Y}}$ .

\*  $I(X:Y) \stackrel{SA}{\geq} 0$ , = 0 iff  $\mathcal{S}_{X,Y} = \mathcal{S}_X \otimes \mathcal{S}_Y$  Only if? next week!

\*  $\mathcal{S}_{X,Y}$  pure:  $\frac{1}{2} I(X:Y) = H(X) = H(Y) = SE$  "=?"  $\rightarrow$  PSET

\*  $I(X:Y) \stackrel{AL}{\leq} 2 \min\{H(X), H(Y)\} \leq 2 \cdot \log \min\{\dim \mathcal{X}, \dim \mathcal{Y}\}$   
↑ no factor 2 for prob. dist. ↓

e.g.  $\mathcal{S}_{X,Y} = |\Phi\rangle\langle\Phi|$ ,  $|\Phi\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$ :  $I(X:Y) = 1 + 1 - 0 = 2$  cf. ↓

$\mathcal{S}_{X,Y} = \frac{1}{2}(|00\rangle\langle 00| + |11\rangle\langle 11|)$ : 

1/2	0
0	1/2

 $I(X:Y) = 1 + 1 - 1 = 1$

\*  $I(X:Y) \stackrel{SSA}{\leq} I(X:YZ)$  Why useful?

If  $\{p_x, \mathcal{S}_x\}$  ensemble, consider g-state  $\mathcal{S}_{X,Y} = \sum_{x \in \Sigma} p_x |x\rangle\langle x| \otimes \mathcal{S}_x$ .

Holevo  $\chi$ -quantity of ensemble:

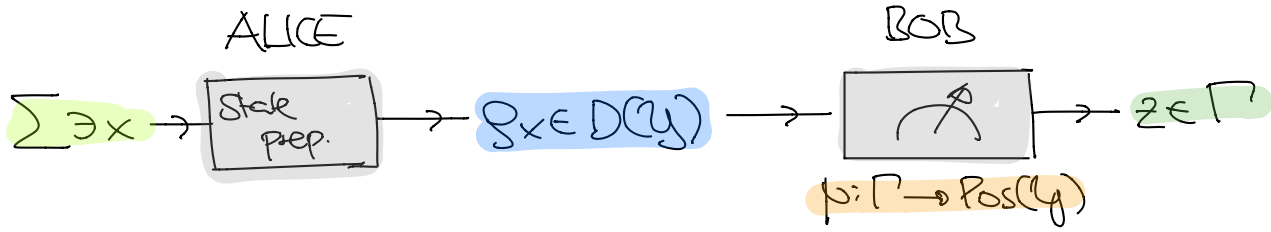
$$\chi(\{p_x, \mathcal{S}_x\}) = I(X:Y) = H\left(\sum_x p_x \mathcal{S}_x\right) - \sum_x p_x H(\mathcal{S}_x) \leq \log \dim \mathcal{Y}$$

\* used  $H(X,Y) \stackrel{JET}{=} H(p) + \sum_x p_x H(\mathcal{S}_x)$ ,  $H(X) = H(p)$ ,  $H(Y) = H\left(\sum_x p_x \mathcal{S}_x\right)$

\*  $I \geq 0$  implies concavity of  $H$   $\infty$

# Holevo's bound

How many bits can Alice reliably commun. to Bob by sending a q. state?



PSET 2:  $\Sigma = \{0,1\}^m = \Gamma$ ,  $Y = (\mathbb{C}^2)^{\otimes n}$ ,  $X \in \Sigma$  uniformly at random

$\Pr(X=Z) \leq 2^{n-m}$

i.e. need to send  $n \geq m$  qubits to communicate  $m$  bits reliably

How large can  $I(X:Z)$  be? w.r.t.  $p(x,z) = p_x \cdot \text{tr}[\rho(z) \rho_x]$ .

Thm (Holevo):  $I(X:Z) \leq n$  for every ensemble  $\{\rho_x, p_x\}$  & meas.  $p$

Proof? Next time...