

Last time: Q. info source:  $\{p_i, |t_i\rangle\} \rightsquigarrow \rho = \sum_i p_i |t_i\rangle\langle t_i|$

## Classical & quantum data compression

A classical tale: Alice acquired a biased coin:

ALICE — How many bits? → BOB



$P = 75\%$

1 bit

otherwise: 25% error



$1-P = 25\%$

$n$  coin flips? Can we do better than  $\boxed{1 \frac{\text{bit}}{\text{coin flip}}}$  ?

\* Typical sequences have

Compression rate

$$\boxed{\frac{k}{n} \approx p}$$

$\overbrace{\text{HTTHH} \dots \text{H} \text{TH}}^n$   
 $k$  heads,  $n-k$  tails

random  
sequence

Isn't  $\text{H} \dots \text{H}$  more likely? Yes but...

LAW OF LARGE NUMBERS:  $\forall \epsilon > 0$

$$Pr\left(\left|\frac{k}{n} - p\right| > \epsilon\right) = O\left(\frac{1}{n}\right) \rightarrow 0 \text{ as } n \rightarrow \infty$$

\* NB: Good way to estimate  $p$ !

\* How many sequences with  $k$  heads?  $\binom{n}{k}$

Asymptotics: For all  $x \in [0, 1]$ :

$$1 = (x + (1-x))^n = \sum_{l=0}^n \binom{n}{l} x^l (1-x)^{n-l} \geq \binom{n}{k} x^k (1-x)^{n-k}$$

$$x = \frac{k}{n}$$

$$\Rightarrow \binom{n}{k} \leq x^{-k} (1-x)^{-(n-k)} = \left(\frac{k}{n}\right)^{-k} \left(1 - \frac{k}{n}\right)^{-(n-k)}$$

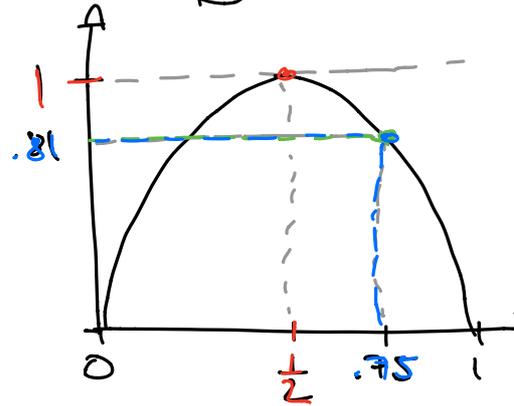
$$\Rightarrow \frac{1}{n} \log \binom{n}{k} \leq -\frac{k}{n} \log \frac{k}{n} - \left(1 - \frac{k}{n}\right) \log \left(1 - \frac{k}{n}\right)$$

$$=: h\left(\frac{k}{n}\right)$$

where we defined the **binary Shannon entropy**

$$h(p) := -p \log(p) - (1-p) \log(1-p)$$

BASE 2



\*  $2^{n \cdot h(\frac{k}{n})}$  sequences

↳ need only  $n \cdot h(\frac{k}{n}) = n \cdot (h(p \pm \epsilon)) = n (h(p) \pm \epsilon')$  bits

\*  $p = 75\% \Rightarrow h(p) \approx 81\%$

$p = 50\% \Rightarrow h(p) = 100\%$

} Intuitive?

Compression protocol: Fix  $\epsilon > 0$ .

\* If  $|\frac{k}{n} - p| > \epsilon$ : **FAIL** (send over arbitrary message)

\* Send  $k$  to Bob.

$\log(n)$  bits

\* Send index of sequence in list of all coin flip sequences with  $k$  heads

}  $n \cdot (h(p) \pm \epsilon')$  bits

Analysis: \*  $\Pr(\text{FAIL}) \rightarrow 0$  as  $n \rightarrow \infty$  law of large nos

\* Rate:  $R = \frac{\log(n)}{n} + \boxed{h(p)} + \boxed{\epsilon'}$   $\frac{\text{bits}}{\text{coin flip}}$

∞

→ 0

as small as we want

\* Entropy  $h(p)$  is optimal asymptotic rate PSET

\* Symmetries? Ask

-  $\Pr(\text{sequence}) = p^k (1-p)^{n-k}$  permutation symmetry

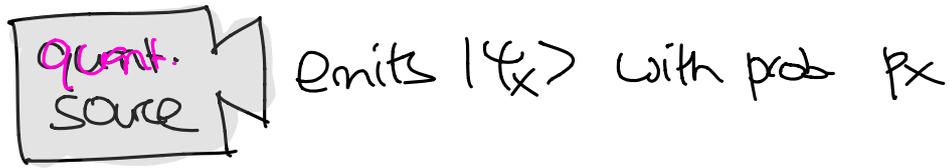
-  $h(p) = h(1-p)$  😊 relabeling should not improve rate

∴ need to change protocol universal compressor?

\* Instead of failing, can also send uncompressed string!

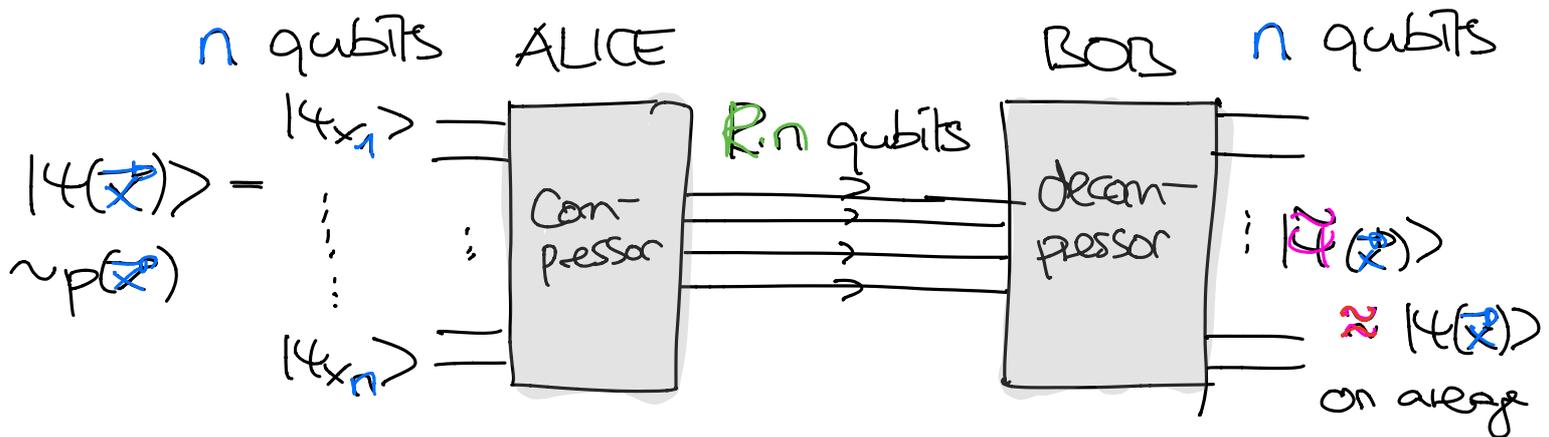
↳ expected rate  $\approx$   $h(p)$  because  $\Pr(\text{fail}) \rightarrow 0$

Quantum Compression What's the model?



↳ emits  $|\psi(\vec{x})\rangle = |\psi_{x_1}\rangle \otimes \dots \otimes |\psi_{x_n}\rangle$   
 with prob.  $p(\vec{x}) = p_{x_1} \dots p_{x_n}$  }  $\hat{=}$   $n$  coin tosses

Goal: Design compressor & decompressor s.t.



Formula?

$$\sum_{\vec{x}} p(\vec{x}) \cdot E [ | \langle \tilde{\psi}(\vec{x}) | \psi(\vec{x}) \rangle |^2 ] \approx 1$$

Compression might involve random measurement outcomes

Average output of source:

$$S = \sum_x p_x |\psi_x\rangle\langle\psi_x| \rightsquigarrow \text{eigenvalues } \{p, 1-p\}$$

Quantum IID

\*  $n$  samples  $\rightsquigarrow \sum_{\vec{x}} p(\vec{x}) |\psi(\vec{x})\rangle\langle\psi(\vec{x})| = S^{\otimes n}$

\* many ensembles can give same  $S$

\*  $|\psi_x\rangle$  need **NOT** be orthogonal  $\Rightarrow \{p_x\} \neq \{p, 1-p\}$

CANNOT just measure to figure out  $\vec{x}$   
 e.g.  $\frac{1}{2}(|0\rangle\langle 0| + |1\rangle\langle 1|) = S$  has  $p \approx 85\%$

Idea: Find "small" subspace  $\mathcal{H}_n \subseteq (\mathbb{C}^2)^{\otimes n}$  that contains "typical"  $|\psi(\vec{x})\rangle$ .  $\Leftarrow$  quantum version of testing if  $|\frac{k}{n} - p| \leq \epsilon$

Compression protocol: Let  $P_n :=$  projector onto  $\mathcal{H}_n$ .

Measure  $\{P_n, I - P_n\}$ .

typical  $\downarrow$

atypical  $\downarrow$

Post-measurement state

$$|\tilde{\psi}(\vec{x})\rangle = \frac{P_n |\psi(\vec{x})\rangle}{\|P_n |\psi(\vec{x})\rangle\|} \in \mathcal{H}_n$$

**FAIL**, e.g., send over arbitrary state  $|\psi(\vec{x})\rangle$

↓

Alice can send  $|\tilde{\psi}(x)\rangle$  to Bob

using only  $\log(\dim \mathcal{H}_n)$  qubits

How? Set  $l := \log(\dim \mathcal{H}_n)$ . Alice applies

$$(\mathbb{C}^2)^{\otimes n} \xrightarrow{U} (\mathbb{C}^2)^{\otimes l} \otimes (\mathbb{C}^2)^{\otimes (n-l)}$$

$$\mathcal{H}_n \longmapsto (\mathbb{C}^2)^{\otimes l} \otimes |0 \dots 0\rangle$$

& sends over first  $l$  qubits. Bob adds  $|0 \dots 0\rangle$  & does  $U^\dagger$

Analysis: \* Rate:  $\frac{\log \dim(\mathcal{H}_n)}{n} < 1$ .

\*  $P_{\psi(x)}$  (typical) =  $\langle \psi(x) | P_n | \psi(x) \rangle =: q(x)$

\* Average square fidelity?

$$\sum_x p(x) E[|\langle \psi(x) | \tilde{\psi}(x) \rangle|^2]$$

$$= \sum_x p(x) \cdot \left\{ q(x) \left| \langle \psi(x) | \frac{P_n | \psi(x) \rangle}{\|P_n | \psi(x) \rangle\|} \right|^2 + \dots \right\}$$

$$= \sum_x p(x) \cdot q^2(x) \stackrel{\text{JENSEN}}{\geq} \left( \sum_x p(x) \cdot q(x) \right)^2$$

where

$$\sum_x p(x) \cdot q(x) = \sum_x p(x) \cdot \text{tr} [ |\psi(x)\rangle \langle \psi(x)| \cdot P_n ] \stackrel{\text{SEE ABOVE}}{=} \text{tr} [ \rho^{\otimes n} P_n ]$$

Thus: Q. Compression at rate  $R$  is possible if

$$\begin{array}{l} \textcircled{1} \frac{1}{n} \log \dim(\mathcal{H}_n) \leq R \\ \textcircled{2} \text{tr}[\rho^{\otimes n} P_n] \approx 1 \end{array} \quad \begin{array}{l} \mathcal{H}_n \text{ is called} \\ \text{typical subspace} \\ \text{for large } n \end{array}$$

NB: Only involves  $\rho$ , not details of  $q$ . source!

↳ protocol works for all sources w/  $\sum_x p_x |t_x\rangle\langle t_x| = \rho$

Tomorrow:

- \* Construction of typical subspace using ideas from classical data compression
- \* Relationship between compression & entanglement