

# Converse of the Noisy Coding Theorem (NOT in MacKay)

"If  $\tilde{R} > C(Q)$ :  $\exists \delta > 0 \quad \exists N_0 \forall N \geq N_0: \text{Code with } \frac{K}{N} \geq \tilde{R} \text{ & } P_B \leq \delta$ "

Tools: ① Data Processing Inequality (DPI) for  $A \rightarrow B \rightarrow C$  Markov chain:

$$I(B:C) \geq I(A:C) \quad \& \quad H(A|B) \leq H(A|C)$$

Some for i.e.  $P(a,b,c) =$   
A → C  $P(a)P(b|a)P(c|b) =$   
-indep!  $P(c)P(g(b))P(c|b)$

② If  $X^N$  arbitrary and  $Y^N$  channel output:  $\begin{array}{l} \text{i.e. } P(X^N | Y^N) \\ = P(X^N) Q(x_1 | x_1) \dots Q(x_N | x_N) \end{array}$

$$I(X^N; Y^N) \leq \sum_{i=1}^N I(X_i; Y_i) \leq N \cdot C(Q)$$

[HW 5]

③ Fano's inequality for  $S \rightarrow T \rightarrow \hat{S}$  Markov chain,  $p = \Pr(S \neq \hat{S})$

$$H\{p, 1-p\} + p \cdot \log \# \Delta_S \geq H(S|\hat{S}) \geq H(S|T)$$

[EX]

Proof of the converse: Consider  $(N, K)$ -code with  $\frac{K}{N} \geq \tilde{R} > C$ .

Let  $S \in \{1, \dots, 2^K\}$  uniform. Recall:  $S \rightarrow X^N \rightarrow Y^N \rightarrow \hat{S}$ .

Then:

$$* H(S|Y^N) = H(S) - I(S; Y^N) \stackrel{\substack{\text{DPI} \text{ ①} \\ \uparrow}}{\geq} H(S) - I(X^N; Y^N) \stackrel{\substack{\text{②} \\ S \rightarrow X^N \rightarrow Y^N \text{ Markov chain}}}{\geq} K - N \cdot C$$

$$* H(S|Y^N) \stackrel{\substack{\text{Fano ③} \\ \uparrow}}{\leq} 1 + \Pr(\hat{S} \neq S) \cdot \log \# \Delta_S = 1 + P_B \cdot K$$

$$\Rightarrow K - N \cdot C \leq 1 + P_B \cdot K$$

$$\Rightarrow P_B \geq \frac{1}{K} (K - N \cdot C - 1) = 1 - \frac{N \cdot C}{K} - \frac{1}{K} \geq 1 - \frac{C}{\tilde{R}} - \frac{1}{N \tilde{R}}$$

Can never go below this  
for large enough  $N$

Are we happy? What questions does Shannon's theorem leave  
unaddressed? algorithms, large  $N$ , ... how to even compute  $C$ ?

## Shannon's Theorem vs. Practice (§11)

- Need large block size  $N$  for joint typicality vs. fixed packet size
- Codebook  $X^N(1), \dots, X^N(2^k)$  exponentially large in  $N$  (if  $R > 0$ ) → HW 5
- Random codes vs predictable performance

A family of codes is "very good" if  $\frac{k}{N} \rightarrow C$  &  $P_B \rightarrow 0$   
 "good" if  $\frac{k}{N} \geq \tilde{R}$  &  $P_B \rightarrow 0$  for some  $\tilde{R} > 0$   
 "bad" otherwise

... and "practical" if efficient encode + decoder

Often run in embedded devices  
 (cell phone, satellite, TV, ...)

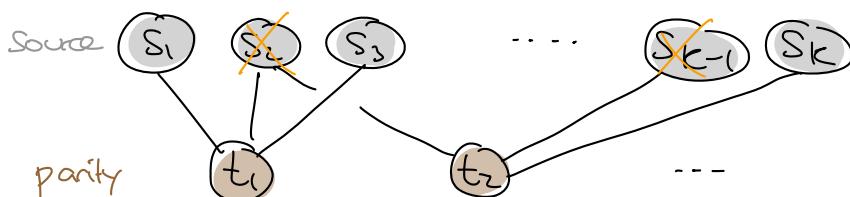
### In practice:

- \* most codes are linear ( $x^N$  linear function of  $s^k$ )
- \* "easy" to come up with "plausible" encoders — but optimal decoding is in general (NP) hard! ← unlike for compression!

$$\sigma_{\text{opt}}(y^N) = \underset{\hat{s}}{\operatorname{argmax}} P(\hat{s} | y^N)$$

Why? If  $P(s)$  arbitrary prior, want to choose  $\sigma$  to maximize  $\Pr(\hat{s} = s)$   
 $= \sum_{y^N} \Pr(s = \sigma(y^N), t^N = y^N)$   
 choose  $s = \sigma(y^N)$  that maximizes  $P(s|y^N) \propto P(s|y^N)$

e.g. imagine the following (LDPC) code:



For erasure channel:

$$S_1 \oplus \cancel{S_2} \oplus S_3 \oplus t_1 = 0$$

$$\cancel{S_2} \oplus S_3 \oplus \cancel{S_k} \oplus t_2 = 0$$

...

- \* types of decoders: "algebraic" vs. "iterative"

### Types of codes:

- \* block Codes: e.g. Hamming, Reed-Solomon, LDPC codes  
 → Wednesday WiFi, DVB, ...  
 storage, bar codes, Sat comm

- \* Convolutional: e.g. turbo codes  
 ≈ linear streaming codes  
 3G/4G/LTE, Sat comm.