

Introduction to Information Theory (§1)

Mackay

① How to measure information? How to ask the most informative questions?

"bit"... but:  vs 
→ "entropy"

"guess a number" game
→ data science, ML

② How to compress a data source?  lossless

 lossy

③ How to reliably send information over unreliable channels?  LTE, Blu-ray, QR-codes, ...

1948: Shannon, "A Mathematical Theory of Information" solved ① - ③ "in theory"

origins: telecommunication + physics

Morse (1830s) → 1920s Bell labs → thermodynamics (1870+) Boltzmann, Gibbs, ...
E S (1830s)

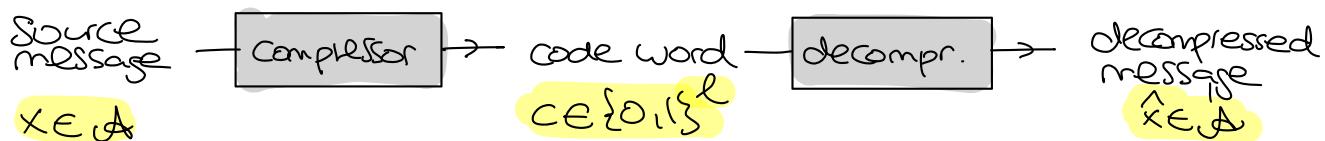
$$\text{info} \sim \log(\#\text{voltage levels}) \underset{\text{Nyquist}}{\sim} \log(\#\text{possible signals}) \underset{\text{abstraction!}}{\uparrow} \underset{\text{Hartley}}{\sim}$$

today: engineering + theory (efficient codes, beyond i.i.d.) + quantum

✗ LOGISTICS

Compression

Suppose we want to compress a message in $\{A, B, C, D\} = \mathcal{A}$:



WANT: $x = \hat{x}$ 4 possible messages $(2^2 = 4)$
→ need $l=2$

| X | C |
|---|----|
| A | 00 |
| B | 01 |
| C | 10 |
| D | 11 |

Why not
0 1
00 01
0 1
00 01

In general: $2^l \geq \#\mathcal{A} \Rightarrow l \geq \log_2(\#\mathcal{A})$

Can we do better? Imagine some messages are more frequent than others...

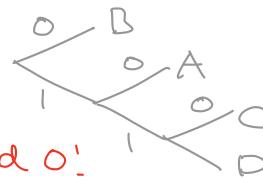
Code I code II

| | | | | |
|---|-----------|-------|-----|----|
| A | Sunshine | 44% | 10 | 10 |
| B | rain | 55% | 01 | 01 |
| C | snow | 0.99% | 110 | 11 |
| D | hurricane | 0.01% | 111 | 01 |

longer

reused 0!

both can be decoded nicely! e.g.



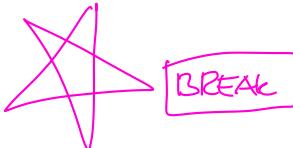
Code I: lossless, average length = 1.46

≤ 2 !

Code II: lossy! Peror = 0.01%, average length ≈ 1.45

How to do even better? Look at blocks of messages!

↳ **SHANNON:** Optimal rate of compression is $\approx 1.06 \frac{\text{bits}}{\text{message}}$



Communicating over Noisy Channels

Examples of noisy channels & how to avoid:

- * Scratch on Bluray disk
- * Loud party
- * Mail arrives crumpled
- * Bad signal
- * Bit flip on hard disk

Don't do it!
Tell people not to shout!
Pay your postman more!
Build more cell phone towers!
Shield better

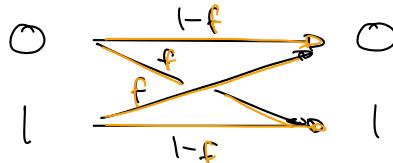
E or
infeasible

Mathematical model:

SATA mandates Pread error $\leq 10^{-14}$ \leadsto Reed-Solomon, LDPC codes



e.g. binary symmetric channel:

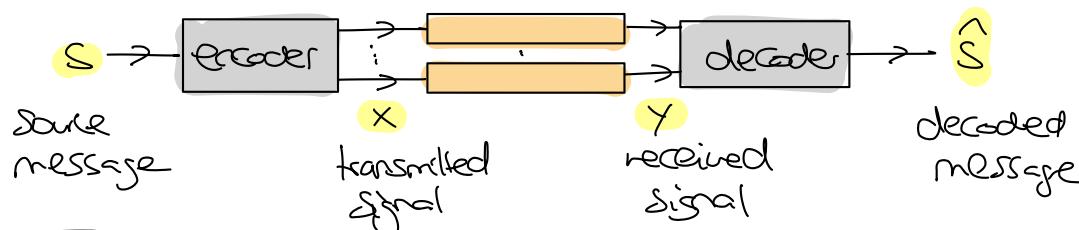


$$p(1|0) = p(0|1) = f$$
$$p(0|0) = p(1|1) = 1-f$$

f = probability of bit flip

assume we know f !!!

How to reduce error? Introduce redundancy by encoding message!



Want: $S = \hat{S}$ with high probability!

Repetition Code R₃:

* encoder:

| S | X = x ₁ x ₂ x ₃ |
|---|--|
| 0 | 000 |
| 1 | 111 |

* decode:

majority vote

| Y = y ₁ y ₂ y ₃ | \hat{S} |
|--|-----------|
| 000 | 0 |
| 001 / 010 / 100 | 0 |
| 011 / 101 / 110 | 1 |
| 111 | 1 |

*analysis: Can deal with ≤ 1 bit flip

$$\Rightarrow P_{\text{error}} = \Pr(2 \text{ or } 3 \text{ bit flips}) = \underbrace{2 \cdot f^2 (1-f) + f^3}_{\text{Ex: } < f \text{ as long as } f < \frac{1}{2}} \approx 3f^2 \text{ if } f \text{ small}$$

e.g. $f = 10\% = 0.1$: $P_{\text{error}} = 0.028 \approx 0.03 = 3\%$

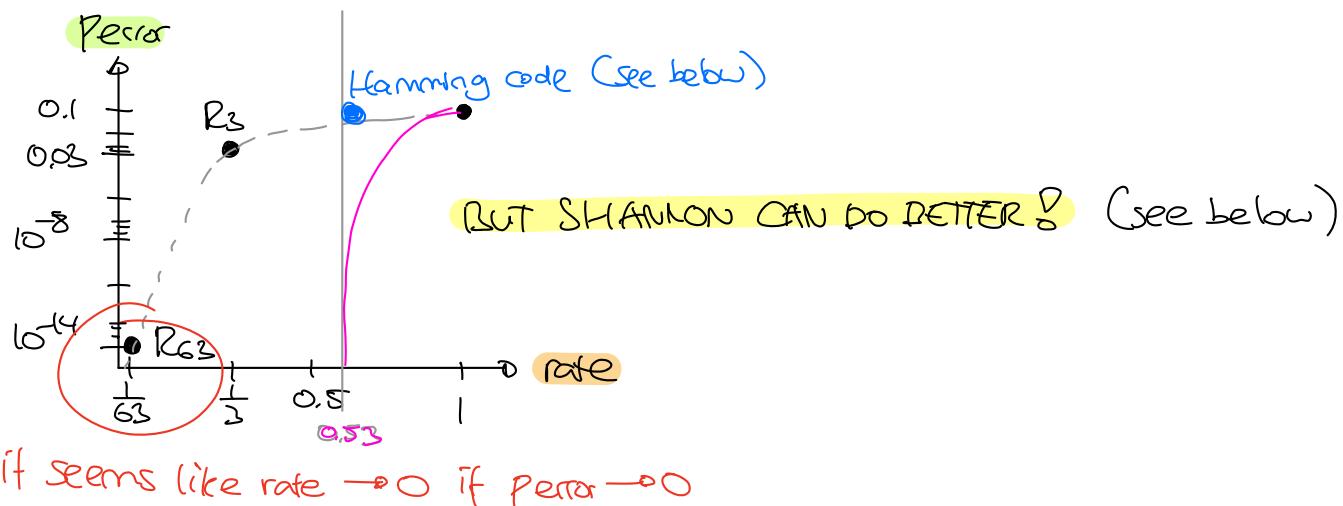
$$*\text{ rate} = \frac{\# \text{ source msg bits}}{\# \text{ channel uses}} = \frac{1}{3}$$

Ex: Is this decoder optimal? Yes, if $f \leq 50\%$. What if $f = 50\%$? No information! ★

What if we repeat $N > 3$ times?

$$P_{\text{error}} = \Pr(\geq \frac{N}{2} \text{ bit flips}) \underset{\substack{k \geq \frac{N}{2} \\ \text{Thursdays}}}{=} \sum_{k \geq \frac{N}{2}} \binom{N}{k} f^k (1-f)^{N-k} \underset{\substack{\text{Law} \\ \text{at rate} = \frac{1}{N}}}{\sim} 2^N f^{\frac{N}{2}} (1-f)^{\frac{N}{2}}$$

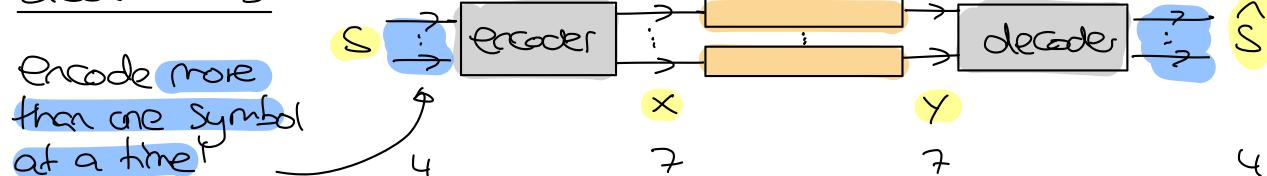
e.g. $f = 10\%$: $P_{\text{error}} \sim 0.6^N$



if seems like $\text{rate} \rightarrow 0$ if $P_{\text{error}} \rightarrow 0$

How can we find more & better codes?

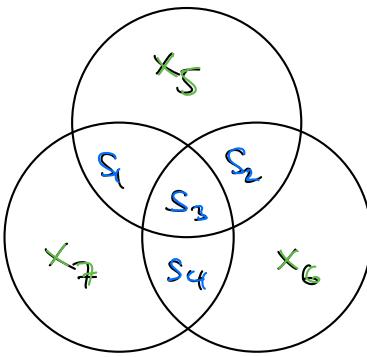
Block Codes:



We did not cover the following in class:

but the TAs discussed it in the tutorials

(7,4)-Hamming code:



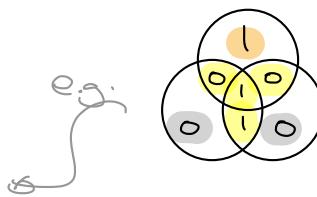
$$x_1 = s_1, \dots, x_4 = s_4$$

x_5, \dots, x_7 chosen such that sum in each circle even

("parity bits")

$$x_1 \dots x_4$$

| $S = s_1 \dots s_4$ | $x_5 x_6 x_7$ |
|---------------------|---------------|
| 0000 | 0 0 0 |
| 0001 | 0 1 1 |
| 0010 | 1 1 1 |
| 0011 | 1 0 0 |
| ... | |



Any two codewords differ by 3 or more bits!

↳ can correct single bit flips

How to decode?

- ① Compute parities in all three circles: $z_i = y_1 \oplus y_2 \oplus y_3 \oplus y_5 \pmod{2}$
- ② If at least one $z_i \neq 0$: z_3

Flip unique bit that is only in circles with $z_i \neq 0$

| | | | | | | | | |
|-------------------|-----|-------|-------|-------|-------|-------|-------|-------|
| $z = z_1 z_2 z_3$ | 000 | 001 | 010 | 100 | 011 | 101 | 110 | 111 |
| flipped bit | / | y_7 | y_6 | y_5 | y_4 | y_1 | y_2 | y_3 |

$$\Rightarrow P_{\text{block error}} \leq \Pr(\geq 2 \text{ bit flips}) \sim \binom{7}{2} f^2 (1-f)^5 = 21 f^2$$

$$P_{\text{bit error}} = \frac{1}{4} \sum_{k=1}^4 \Pr(S_k \neq s_k) \sim 9f^2$$

Exercise class

$$\text{rate} = \frac{4}{7}$$

SHANNON: For $f=10\%$, can reliably send at optimal rate ≈ 0.53 $\frac{\text{Source bits}}{\text{Channel uses}}$

Wednesday: Probability theory recap + entropy (towards compression)