

# Converse of the Noisy Coding Theorem (NOT in Mackay)

"If  $R > C(\mathcal{Q})$ :  $\exists \delta > 0 \exists N_0 \forall N \geq N_0$ :  $\nexists$  code with  $\frac{k}{N} \geq R$  &  $P_B \leq \delta$ "

Tools: ① Data Processing Inequality (DPI) for  $A \rightarrow B \rightarrow C$  Markov chain:  
 $I(A:B) \geq I(A:C)$  &  $H(A|B) \leq H(A|C)$  ie.  $P(a,b,c) = P(a)P(b|a)P(c|b)$

② If  $X^N$  arbitrary and  $Y^N$  channel output:  $\leftarrow$  ie.  $P(x^N, y^N) = P(x^N) \prod_{i=1}^N P(y_i|x_i)$   
 $I(X^N; Y^N) \leq \sum_{i=1}^N I(X_i; Y_i) \leq N \cdot C(\mathcal{Q})$  HW 5.

③ Fano's inequality for  $S \rightarrow T \rightarrow \hat{S}$  Markov chain,  $p = P(S \neq \hat{S})$   
 $H(\{p, 1-p\}) + p \cdot \log \#A_S \geq H(S|\hat{S}) \geq H(S|T)$

## Proof of the converse:

Consider  $(N, k)$ -code with  $\frac{k}{N} \geq R > C$ . Let  $S \in \{1, \dots, 2^k\}$  uniform. Then:

$$* H(S|Y^N) = H(S) - I(S; Y^N) \stackrel{\text{DPI } \textcircled{1}}{\geq} H(S) - I(X^N; Y^N) \stackrel{\textcircled{2}}{\geq} k - N \cdot C$$

$S \rightarrow X^N \rightarrow Y^N$  Markov chain

$$* H(S|Y^N) \stackrel{\text{Fano } \textcircled{3}}{\leq} 1 + P(S \neq \hat{S}) \cdot \log \#A_S = 1 + P_B \cdot k$$

$S \rightarrow Y^N \rightarrow \hat{S}$  Markov chain

$$\Rightarrow k - N \cdot C \leq 1 + P_B \cdot k$$

$$\Rightarrow P_B \geq \frac{1}{k} (k - N \cdot C - 1) = 1 - \frac{N \cdot C}{k} - \frac{1}{k} \geq 1 - \frac{C}{R} - \frac{1}{NR} \quad \square$$

Can never go below this for large enough  $N$

Are we happy? What questions does Shannon's theorem leave unaddressed? algorithmics, large  $N$ , ... how to even compute  $C$ ?

# Shannon's Theorem vs. Practice (§11)

- Need large block size  $N$  for joint typicality vs. fixed packet size
- Codebook  $x^N(1), \dots, x^N(2^k)$  exponentially large in  $N$  (if  $R > 0$ ) → HW 5
- Random codes vs predictable performance

A family of codes is "very good" if  $\frac{k}{N} \rightarrow C$  &  $P_B \rightarrow 0$   
 "good" if  $\frac{k}{N} \geq R > 0$  &  $P_B \rightarrow 0$   
 "bad" otherwise

... and practical if efficient encoder + decoder

Often run in embedded devices (cell phone, satellite, TV, ...)!

In practice:

- \* most codes are linear ( $x^N$  linear function of  $s^k$ )
- \* "easy" to come up with "plausible" encoders — but optimal decoding is in general (NP) hard! ← unlike for compression!

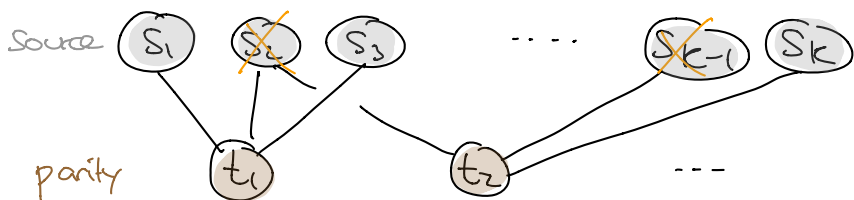
$$\sigma_{opt}(y^N) = \underset{\hat{s}}{\operatorname{argmax}} P(\hat{s} | y^N)$$

Why? If  $P(s)$  arbitrary prior, want to choose  $\sigma$  to maximize  $P(\hat{s}=s)$

$$= \sum_{y^N} \underbrace{P(\hat{s}=\sigma(y^N), Y^N=y^N)}$$

choose  $s=\sigma(y^N)$  that maximizes  $P(s|y^N) \propto P(s|y^N)$

e.g. imagine the following (LDPC) code:



e.g. 4 bits per parity constraint, each bit in 3 parity constraints

For erasure channel:

$$s_1 \oplus s_2 \oplus s_3 \oplus t_1 = 0$$

$$s_2 \oplus \dots \oplus s_{k-1} \oplus t_2 = 0$$

- \* types of decoders: "algebraic" vs. "iterative"

Types of codes:

- \* block codes: e.g. Hamming, Reed-Solomon, LDPC codes  
 Storage, bar codes, Sat Comm  
 WiFi, DVB, ...  
 TODAY

- \* Convolutional: e.g. turbo codes  
 3G/4G/LTE, Sat. Comm.  
 linear streaming codes  
 NEXT WEEK

# Reed-Solomon Codes

e.g. PDF417 bar code  
 $q=929, \alpha=3, T=4$

## Alphabet:

$\mathcal{A} = \mathbb{F}_q$  for  $q$  prime  $\leftarrow$  prime power ok, too  
 $\uparrow$   
 $\{0, 1, \dots, q-1\}$  with  $+$  and  $\cdot$  modulo  $q$   
 (finite field with  $q$  elements)

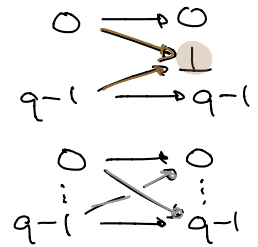
## Parameters:

$k < N \leq q$  and  $\alpha \in \mathbb{F}_q$

\* overhead:  $T := N - k$

\* Can correct up to  $T$  erasures (= known error locations)

or up to  $\frac{T}{2}$  errors (= at unknown locations)



\*  $\alpha$  should be a "generator":  $\mathbb{F}_q = \{0, \alpha, \alpha^2, \dots, \alpha^{q-1} = 1\}$

any nonzero element is power of  $\alpha$

always exists! e.g.  $\mathbb{F}_3 = \{0, 2, 2^2 = 1\}$   $\mathbb{F}_7 = \{0, 3, 3^2 = 2, 3^3 = 6, 3^4 = 4, \dots\}$

$\hookrightarrow$  generator polynomial:  $G = (X - \alpha) \cdots (X - \alpha^T)$   
 variables of the polynomial

## Encoder:

Input:  $s^k \in \mathcal{A}^k$

\*  $P \leftarrow s_0 + s_1 X + \dots + s_{k-1} X^{k-1}$

remainder of poly division

\*  $R \leftarrow P \cdot X^T \bmod G$

degree  $< T$

\*  $M \leftarrow P \cdot X^T - R$

degree  $N-1$  & leading coeffs  $s_{k-1}, \dots, s_0$

\*  $x^N \leftarrow$  coefficients of  $M$

i.e.  $M = x_0 + x_1 X + \dots + x_{N-1} X^{N-1}$

By construction:

$M$  is multiple of  $G$

$$\Rightarrow \boxed{M(\alpha) = 0} \quad \& \quad \dots \quad \& \quad \boxed{M(\alpha^T) = 0}$$

These are our "parity checks".

ex:  $k=1, N=3, q=3$  and  $\alpha=2$

$$\hookrightarrow T=2 \quad \& \quad G=(X-2)(X-1)=X^2-1$$

To encode  $s \in \mathbb{F}_q$ :

$$* P \leftarrow s$$

$$* R \leftarrow s \cdot X^2 \bmod G = s \cdot X^2 - s \cdot G = s$$

$$* M \leftarrow s \cdot X^2 - R = s \cdot G$$

$$* x^N \leftarrow [-s, 0, s]$$

How to decode?