

# Introduction to Information Theory (§1)

- ① How to measure information? How to ask the most informative questions?  
"bit"... but:  vs   
→ "entropy"  
"guess a number" game  
→ data science, ML

② How to compress a data source? lossless: FLAC, ZIP, GIF, ... lossy: JPG, MP3, MP4, ...

③ How to reliably send information over unreliable channels? LTE, Blu-ray, QR-codes, ...

1948: Shannon, "A Mathematical Theory of Information" solved ① - ③ "in theory"

origins: telecommunication + physics

Thermodynamics (1870+)  
 Boltzmann, Gibbs, ...  
 Bell labs  
 E S (1830s)  
 Mose (1830s)

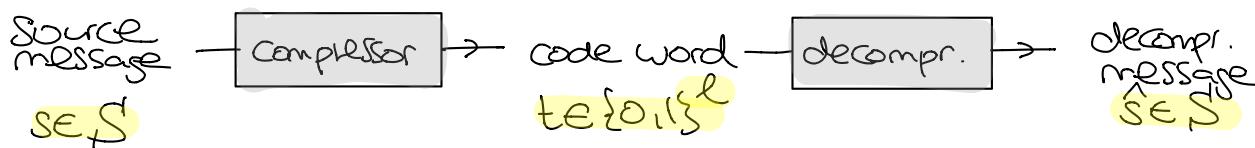
$$\text{info} \sim \log(\# \text{voltage levels}) \underset{\text{Nyquist}}{\sim} \log(\# \text{possible signals}) \underset{\text{Hartley}}{\sim}$$

↑  
abstraction!

today: engineering + theory (efficient codes, beyond i.i.d.) +  quantum



Suppose we want to compress a message in  $\{A, B, C, D\} = S$ :



WANT:  $S = \hat{S}$  → 4 possible messages ( $2^2 = 4$ )  
→ need  $l=2$

S	t
A	00
B	01
C	10
D	11

Why not  
I prefix

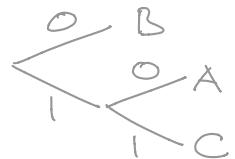
In general:  $2^\ell \geq |S| \Rightarrow \ell \geq \log_2(|S|)$

Can we do better? Imagine some messages are more frequent than others...

			Code I	Code .
A	Sunshine	44%	I O	I O
B	rain	55%	O O	O O
C	snow	0.99%	I I O	I I
D	hurricane	0.01%	I I I	O ↗

Code I code II

both can be decoded nicely! e.g.



Code I: lossless, average length = 1.46

≤ 2 !

Code II: lossy! Peror = 0.01%, average length ≈ 1.45

How to do even better? Look at blocks of messages!

↳ **SHANNON:** Optimal rate of compression is ≈ 1.06. ↳ Entropy of source (but...)

### Communicating over Noisy Channels

Examples of noisy channels & how to avoid:

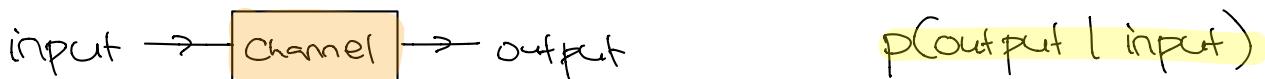
- \* Scratch on Bluray disk
- \* Loud party
- \* Mail arrives crumpled
- \* Bad signal 📻
- \* Bit flip on hard disk

Don't do it!  
Tell people not to shout!  
Pay your postman more!  
Build more cell phone towers!  
Shield better

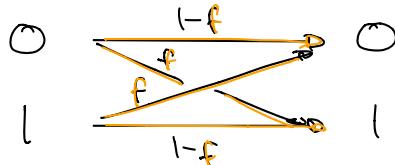
€ or ↴  
**infeasible**

SATA mandates Pread error  $\leq 10^{-14}$  ↳ Reed-Solomon, LDPC codes

Mathematical model:



e.g. binary symmetric channel:

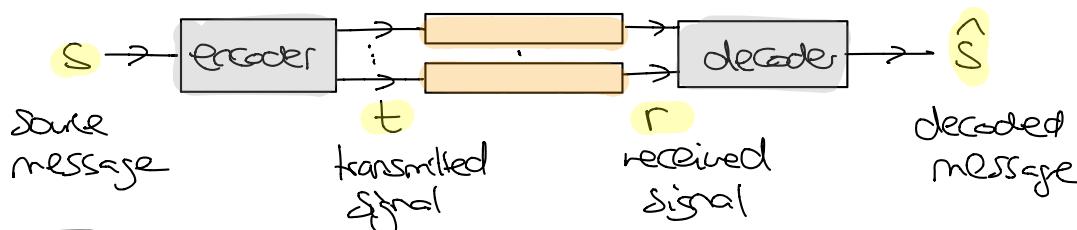


$$p(1|0) = p(0|1) = f$$
$$p(0|0) = p(1|1) = 1-f$$

$f$  = probability of bit flip

assume we know  $f$  !!!

How to reduce error? Introduce **redundancy** by encoding message!



Want:  $S = \hat{S}$  with high probability!

Repetition Code R<sub>3</sub>:

\* encoder:

$S$	$t=t_1t_2t_3$
0	000
1	111

\* decode:

majority vote

$r=r_1r_2r_3$	$\hat{S}$
000	0
001 / 010 / 100	0
011 / 101 / 110	1
111	1

\* analysis: Can deal with  $\leq 1$  bit flip

$$\Rightarrow P_{\text{error}} = \Pr(2 \text{ or } 3 \text{ bit flips}) = \underbrace{3 \cdot f^2(1-f) + f^3}_{\approx 3f^2 \text{ if } f \text{ small}} \approx 3f^2$$

$f < f$  as long as  $f < \frac{1}{2}$

e.g.  $f = 10\% = 0.1$ :  $P_{\text{error}} = 0.028 \approx 0.03 = 3\%$

\* rate =  $\frac{\# \text{Source bits}}{\# \text{transmitted bits}} = \frac{1}{3}$

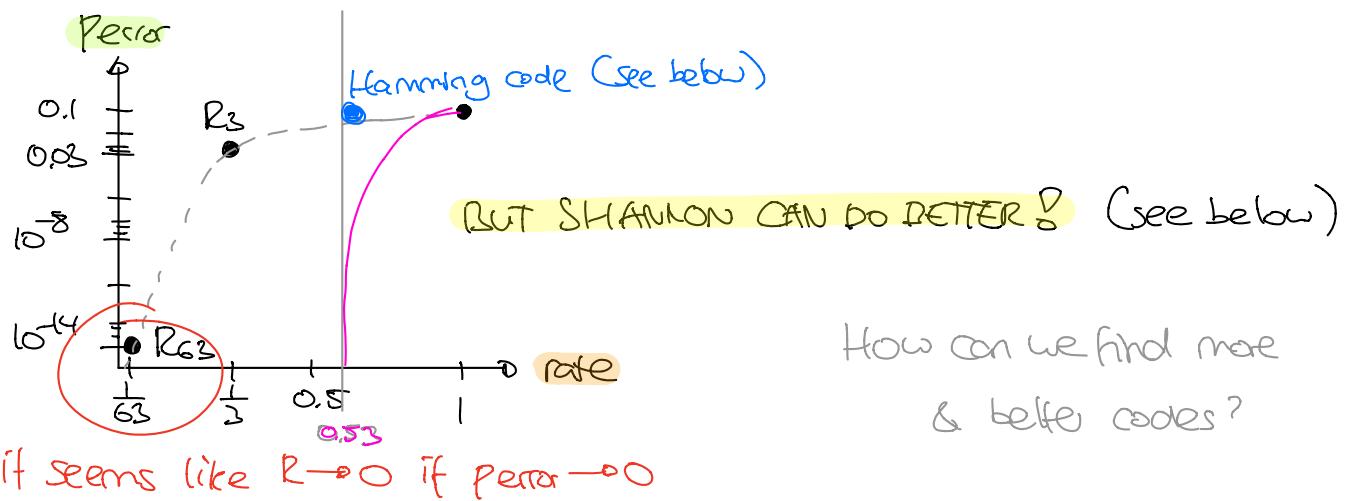
Ex: Show that this decoder is optimal (if  $f \leq 50\%$ ). Discuss  $f = 50\%$ .

What if we repeat  $N > 3$  times?

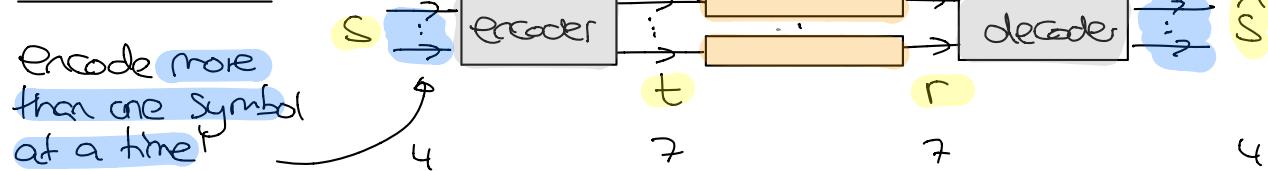
$$P_{\text{error}} = \Pr(\geq \frac{N}{2} \text{ bit flips}) \geq \sum_{k \geq \frac{N}{2}} \binom{N}{k} f^k (1-f)^{N-k} \approx 2^N f^{\frac{N}{2}} (1-f)^{\frac{N}{2}}$$

at rate =  $\frac{1}{N}$

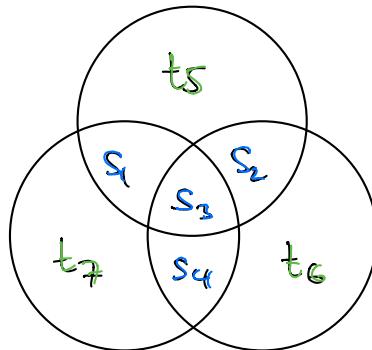
e.g.  $f = 10\%$ :  $P_{\text{error}} \approx 0.6^N$



Block Codes:



(7,4)-Hamming code:

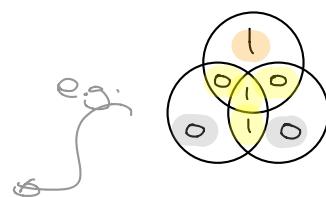


$$t_1 = s_1, \dots, t_4 = s_4$$

$t_5, \dots, t_7$  chosen such that sum in each circle even

("parity bits")

$S = S_1 \dots S_4$	$t_5 t_6 t_7$
0000	0 0 0
0001	0 1 1
0010	1 1 1
0011	1 0 0
...	



It looks like any two codewords differ in 3 or more bits!

↳ can correct single bit flips

How to decode?

- ① Compute parities in all three circles:  $z_i = r_1 \oplus r_2 \oplus r_3 \oplus r_5 \pmod{2}$
- ② If at least one  $z_i \neq 0$ :  $z_3$

Flip unique bit that is only in circles with  $z_i \neq 0$

$Z = z_1 z_2 z_3$	000	001	010	100	011	101	110	111
flipped bit	/	$r_7$	$r_6$	$r_5$	$r_4$	$r_1$	$r_2$	$r_3$

$$\Rightarrow P_{\text{block error}} \leq \Pr(\geq 2 \text{ bit flips}) \sim \binom{7}{2} f^2 (1-f)^5 \approx 21f^2$$

$$P_{\text{bit error}} = \frac{1}{4} \sum_{k=1}^4 \Pr(S_k \neq s_k) \rightarrow \text{exercise class}$$

$$\text{rate} = \frac{4}{7}$$

**SHANNON:** For  $f=10\%$ , can reliably send at optimal rate  $\approx 0.53$  bps  
(but...)

Thursday: Probability theory recap + entropy (towards compression)